

Action items are highlighted in red.

Null or negative payment amounts on 820

Currently, neither ComEd nor Ameren can handle negative payments on the 820 received from a RES for accounts on SBO. All stakeholders recognize the requirement to enable negative payments (adjustments) in the UCB environment. **The utilities will add this requirement to their UCB project requirements for both SBO and UCB billing processes. The utilities will investigate if there are any further issues or questions that need to be answered.**

ComEd has made changes to their system so that handling null payments is no longer a problem.

Sync-up lists

Sync-up lists will not be handled via EDI. Ameren is proposing to post sync-up lists to their RES Portal. The lists will be updated with each billing cycle. ComEd is proposing to post sync-up lists to an ftp site. The lists will be updated monthly. Both Ameren and ComEd currently provide sync-up lists upon request via email. Rick Zollars previously provided a sample sync-up list to Torsten. **Torsten will forward Rick's list to the SWG members.** Ameren currently provides the fields listed below.

Account Number
Service Point Number
Account Name
Ameren Service Company
Enrollment Effective Date
Current Enrollment Status
Bill Option

The NAESB standard is shown below.

RXQ.8.3.3 Synchronization List

RXQ.8.3.3.1 The Distribution Company should compile a Synchronization List for accounts served by a Supplier when any of the following conditions exist:

- The Distribution Company is required by the Applicable Regulatory Authority to make Synchronization Lists available to Suppliers;
- The Distribution Company requires each Supplier to periodically review its Synchronization List;
- The Distribution Company is willing to make Synchronization Lists available for all Suppliers and voluntarily produces them on a periodic basis, or;
- The Distribution Company is willing to make a Synchronization List available to a Supplier upon request.

RXQ.8.3.3.2 The Distribution Company should make the Synchronization List available via Uniform Electronic Transaction within the required timeframe.

RXQ.8.3.3.3 A Synchronization List should include the data elements found in RXQ.8.6. The data elements may consist of the following:

- Distribution Company account number or Service Delivery Point identifier;
- Customer name;
- Service address;
- Billing/Mailing address;
- Supplier account number (if provided by Supplier);
- Enrollment Effective Date;
- Drop Effective Date (if applicable);
- Meter reading cycle;
- Distribution Company Rate Code;
- Supplier Rate Code, and;
- Load profile assignment (if applicable).

RXQ.8.3.3.4 After acquiring a Synchronization List, a Supplier should review it for discrepancies and should work with the Distribution Company to resolve any discrepancies within the required timeframe.

RXQ.8.6.6 – Synchronization List

Technical Implementation of Business Process

Related Model Business Practices: 8.3.3.2

The Synchronization List transaction is the inter-company communication that provides high-level Customer Information to enable Market Participants to coordinate their customer records. The Synchronization List is not used for billing purposes.

The **Sender** of the transaction is the party that hosts Customer Information, usually either the Distribution Company or a Registration Agent (e.g. ERCOT). The **Receiver** of the usage will be a Supplier or another authorized Market Participant.

The Synchronization List may be posted on a website, or sent via e-mail, via postal on a CD, or via traditional NAESB internet communications.

The Synchronization List is not available in X12 EDI format.

Certainly, there are some things missing that make sense to include for the Illinois market such as billing option. **The utilities will present a proposal for an Illinois sync-up list at the next SWG meeting on August 19.**

A request was also made to provide no-bill lists in addition to the sync-up lists. A no-bill list contains accounts that have not billed during their regularly scheduled billing window. ComEd is currently doing this. **The utilities will provide no-bill lists using the same delivery mechanism and timing as the sync-up lists. ComEd will send a sample report to Ameren so that Ameren can design a similar report.**

Usage type on 867 - raw or billed

Billed usage will be sent on the 867. **The utilities will provide Kim with examples of current 867s showing various scenarios including deduct lighting.**

The utilities will investigate and make a proposal for providing raw (post-VEE) meter data to RES for accounts where the raw meter data is different than the billed meter data. For Ameren, an example of this is when the metered voltage is different than the delivery voltage. Possible solutions include making the raw data available on the utility's website.

Sending pending final notices to RES

- Should there be a different process for mass-market?

This issue pertains only to customers that are planning to move. Currently Ameren is not doing this. ComEd is providing a weekly EXCEL report. It was decided to not do this via EDI. The utilities will provide weekly reports using the same delivery mechanism as the sync-up lists. ComEd will send a sample report to Ameren so that Ameren can design a similar report.

Ameren plan for using Service Point (Ameren will make a presentation)

Ameren presented a high level summary of enrollment processes, eligibility for the UCB/POR program and eligibility for the Referral program. Further detail discussion was postponed. Ameren is continuing to investigate.

Rate Ready (Ameren will provide an update)

- How will any adjustments or flat-rate amounts be handled in the 810?

This issue has been closed.

- Request for Ameren to provide an option to upload a file for multiple adjustments. With the initial implementation the RES will only be able to make adjustments via the portal. The option to upload a file will be provided, but not with the initial implementation.

Notice period for 814C – 7 days (like a DASR) or as received

For all changes other than a request from the RES to the utilities to change the billing option on a specific account, the change will be processed immediately and will become effective on the requested effective date. If the requested effective date is blank, then the change will be effective immediately.

The request to change the billing option will have utility specific restrictions (e.g. cannot be changed within the billing window).

Utility follow-up regarding mixing business and calendar days for the calculation of the rescind period

This issue applies to mass-market accounts that require a minimum (e.g. 10-day) customer initiated rescission period. Ameren proposes that an artificially long DASR notice period based on calendar days is not needed. Upon receipt of an enrollment request, Ameren will calculate the earliest available switch date based on various considerations including; 1) if the customer rescission period ends on a weekend or holiday, the 10-day rescission period will be extended to the next business day, 2) a customer rescission must be received at least one business day prior to the start of the billing window and 3) the billing window starts two business days prior to the scheduled meter read date. ComEd will discuss this process with Ameren and investigate whether or not this logic can be built into their enrollment tracking system.

Issues from CPWG

Several issues were brought up at the CPWG meeting on August 12 that are business process, business practice or tariff issues. These issues need to be addressed by the SWG. If possible, the SWG should resolve the issue. The SWG should make a recommendation for tariff issues. These issues will be added to the agenda for the next SWG meeting.

Next Meeting

The next SWG conference call will be on Thursday, September 4 at 1:00 p.m. CPT. Torsten will send out a meeting invite for the meeting.

Respectfully submitted,

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